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*W. Evans*

Dated

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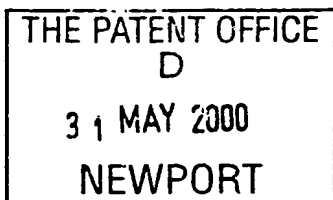
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# The Patent Office

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31MAY00 E540782-1 D00611  
P01/7700 0.00-0013031.0

Request for grant of a patent

The Patent Office

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|    |  |   |  |   |
|----|--|---|--|---|
| 1. | Your reference   | GB000043GB1   |  |   |
| 2. | Patent application number<br><i>(The Patent Office will fill in this part)</i>   | 0013031.0   |  |   |
| 3. | Full name, address and postcode of the or of each applicant <i>(underline all surnames)</i>  | INTERNATIONAL BUSINESS MACHINES CORPORATION<br>Armonk<br>New York 10504<br>United States of America                   |  |   |
|    | Patents ADP number <i>(if you know it)</i>   | 7534092001  |  |   |
|    | If the applicant is a corporate body, give the country/state of its incorporation  | State of New York<br>United States of America   |  |   |
| 4. | Title of the invention   | MESSAGE TRANSFORMATION SELECTION TOOL AND METHOD  |  |   |
| 5. | Name of your agent <i>(if you have one)</i>  | R D MOSS  |  |   |
|    | "Address for Service" in the United Kingdom to which all correspondence should be sent <i>(including the postcode)</i>   | IBM United Kingdom Limited<br>Intellectual Property Department<br>Hursley Park<br>Winchester<br>Hampshire<br>SO21 2JN |  |   |
|    | Patents ADP number <i>(if you know it)</i>   | 684 7966001   |  |   |
| 6. | If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and <i>(if you know it)</i> the or each application number | Country   | Priority App No<br><i>(if you know it)</i> | Date of filing<br><i>(day/month/year)</i> |
| 7. | If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application   | No of earlier application   | Date of filing<br><i>(day/month/year)</i>  |   |

8. Is statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.)
- Yes

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

|             |   |
|-------------|---|
| Description | 8 |
| Claim(s)    | 4 |
| Abstract    | 1 |
| Drawing(s)  | 3 |

8

03

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

3

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application

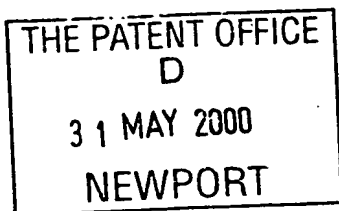
R. D. Moss

Signature

30 May 2000  
Date

12. Name and daytime telephone number of person to contact in the United Kingdom
- R D MOSS  
01962 818399

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# The Patent Office

7/77

Statement of inventorship and of  
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|    |   |  |
|----|---|--|
| 1. | Your reference  | GB000043GB1                                      |
| 2. | Patent application number<br>(if you know it)   | <b>0013031.0</b>                                 |
| 3. | Full name of the or of each applicant   | INTERNATIONAL BUSINESS MACHINES CORPORATION      |
| 4. | Title of invention  | MESSAGE TRANSFORMATION SELECTION TOOL AND METHOD |
| 5. | State how the applicant(s) derived the right from the inventor(s) to be granted a patent  | By employment and agreement                      |
| 6. | How many, if any, additional Patents Forms 7/77 are attached to this form?  |  |
| 7. | I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to. |  |
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| 8. | Name and daytime telephone number of person to contact in the United Kingdom  | R D MOSS<br>01962 818399                         |

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Signature

30 May 2000  
Date

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MESSAGE TRANSFORMATION SELECTION TOOL AND METHOD

## 5 TECHNICAL FIELD OF THE INVENTION

The present invention relates to the transformation of message formats between components of a distributed data processing system and, in particular, to a tool for selecting message transformations.

## 10 BACKGROUND OF THE INVENTION

15 Distributed data processing systems communicate by the exchange of messages. Various products are known which allow messages to be transmitted between heterogeneous platforms, such as IBM's MQSeries products ( "IBM" and "MQSeries" are both trade marks of International Business Machines Corporation). Such transmission is not intelligent in that neither the data content nor the internal format of the messages are transformed so as to be intelligible to applications on the receiving platform. This is because the--  
20 format of these messages may be inherent to particular nodes of the system or the format may be determined by the specific different applications which are running on the system. In both cases, it is necessary to transform message formats originating from one system component ( operating system or application) to formats compatible with other system components.  
25 Such transformations have long been known in the art, for example, conversion between different date conventions (DD/MM/YY to MM/DD/YYYY, say).

30 Although such conversion could be performed by the application programs themselves, in one recent development in the message processing art, so-called message brokers have been developed to route messages intelligently between nodes and to transform the message formats as required.

35 Two recent message broker products from IBM, MQSeries Integrator Versions 1 and 2, both include a so-called "formatter" which transforms messages from one application format into another. The formatter used in both the IBM products is based on one licensed from New Era of Networks, Inc ( NEON) and is described in International Patent Application WO  
40 98/30962 "Method for content based dynamic formatting for interoperation of computing and EDI systems". As one example, these products can transform

messages in fixed format, (where each message, and each field within the message, has a specified length in bytes) into standard XML BOD (Business Object Descriptor) messages (XML is the abbreviation for Extended Markup Language).

5

A general illustration of message transformation is shown in Figure 1 from which it can be seen that transformation includes both mapping (i.e. relocating) fields in an output message and translation (i.e. expressing values in a different code or convention). An input message 10 originating in a first application consists of four fields 11, named FIELD1 to FIELD 4. A formatter 12, with access to prestored format definitions and transformation rules for different applications in a database 13, maps FIELDS 1, 2 and 3 to different relative positions in an output message 14, converting them and FIELD 4 as necessary to a different form which will be recognised by a second application. The reformatted output message 14 may then be passed to and processed by the second application.

However, in these systems, the transformations between different types of messages must be predetermined manually and loaded into the transformation engine (formatter and database). This can lead to a very large number of transformations having to be considered as the number of message formats and types of message, even in one system or application, can be very large, particularly if every conceivable transformation must be explicitly recorded. The NEON system reduces this problem somewhat by breaking down messages into basic named canonical (meta-data) components, common to different applications and formats and by using matching of canonical data to determine the appropriate output message conversion. Even this information about transformations at the canonical level, although cutting down the sheer volume of individual transformation pairs to be stored, must still be determined in advance and entered manually. This task is usually performed by a System Administrator using a graphical user interface to enter complete message definitions for different applications and the specific correspondence with format meta-data components into the formatter's database. Typical meta-data could include such terms as "floating point number", "tag" or "delimiter".

#### DISCLOSURE OF THE INVENTION

There is thus a need to reduce the burden on the system administrator of defining and manually entering permitted message transformations within the business application architecture.



Accordingly, the present invention provides a message transformation selection tool for use in a distributed message processing system, said system including message transformation means for transforming an input message in any of a plurality of formats recognised by one component of said system into an output message in one of a plurality of different formats recognised by another component of said system and a message log for storing representative samples of messages processed by the respective system components; said selection tool comprising: means for determining compatibility of each field of each of said plurality of input message formats with one or more fields of said plurality of output message formats; means for statistically analysing numerical values of message fields in messages stored in said message log; and selection means responsive to said compatibility determination and said statistical analysis to select the best fit output message field into which to transform a given input message field.

Preferably, the tool is implemented as a computer program.

Although the tool may be provided separately, the invention may also be incorporated within a message broker and the invention comprises message brokers including such a tool.

According to another aspect, the present invention also provides a method of selecting a message transformation in a distributed message processing system, said system including message transformation means for transforming an input message in any of a plurality of formats recognised by one component of said system into an output message in one of a plurality of different formats recognised by another component of said system and a message log for storing representative samples of messages processed by the respective system components; said selection tool comprising: means for determining the compatibility of each field of each of said plurality of input message formats with one or more fields of said plurality of output message formats; means for statistically analysing the values of message fields in messages stored in said message log; and selection means responsive to said compatibility determination and said statistical analysis to select the best fit output message field into which to transform a given input message field.

Preferably, the tool and method of the invention statistically analyse the numerical distribution (i.e. rate of occurrence) of values in the message fields, equivalent to producing a histogram. The values can be

anything which may be coded in the fields, such as colours or sizes of goods. Alternatively, they may be prices or other numerical ranges. Selection is on the basis of the best fit distribution for all compatible fields.

The tool may entirely determine the transformation to be used or it may simply rank the output message fields in accordance with the statistical analysis, leaving it to the system administrator to make the final selection.

Compatibility is most easily determined from meta-data, which may be stored for the various fields in a message repository manager which is part of the overall system. This meta-data may include the range of numerical values found in particular fields and may also include the full value distribution statistics for the field.

A basic check for compatibility, based on meta-data, can compare the types of field. Thus, "char", "short", "int" and "long" are all potentially compatible field types, "float" and "double" are compatible types, "char[32]", "char[256]" and "string" are also examples of compatible character types.

Additionally, a looser compatibility selection could be made on the basis of field names being identical, synonymous or otherwise lexically similar.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be described by way of example only, with reference to a preferred embodiment thereof, as illustrated in the accompanying drawings, in which:

Figure 1 illustrates the operation of a known formatter with which the present invention may be used;

Figure 2 is a block diagram of a message transformation selection tool according to the present invention and of a message broker system with which the tool is designed to work;

Figure 3 is a flow diagram illustrating the operation of a message analyser forming part of the selection tool of Figure 2.

Figure 4 shows a typical histogram of the rate of occurrence of possible values in a message field; and

Figure 5 is a flow diagram illustrating the operation of a semi-automated messenger reformatter for selecting the best fit output message field for a given input message field, according to the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

In Figure 2, a message broker 20, which may be IBM's MQSeries Integrator, Version 2, is employed by an enterprise to integrate two systems A and B, shown at 21 and 22, from different suppliers in order to be able to run applications which together perform a business transaction such as an order entry or an update of customer details.

Taking a concrete example, let us say that we wish to integrate an application running under the IBM CICS transaction processing environment, written in Cobol, running on System A, with one from another ERP (Enterprise Resource Planning) system supplier, running on System B ('CICS' is a trademark of International Business Machines Corporation.) The Cobol application sends a message in a proprietary (fixed) format whereas the other supplier uses the self-defining XML (Extended Markup Language) message format, to represent a business transaction as a Business Object Descriptor (BOD), which is a standard of the Open Applications Group (<http://www.openapplications.org/>) and which builds on XML.

Part of the task of the enterprise's system administrator is to define message transformations which map between the message formats used on one system and those used in the other system.

This person has to:

1. Decide which COBOL applications need to communicate with which business application on the other ERP system;

2. Decide which set of fixed format messages to use to send the information out of the Cobol application;

3. Decide which BODs to send to the other ERP system;

4. Decide on the detailed reformatting from the data fields held in the Cobol, fixed format message to fields in the BOD, and implement this reformatting by specialising a message broker processing node.

The invention assists the administrator in taking the decision about which fields match each other in step 4 and thus acts as a productivity tool.

Returning to Figure 2, type metadata (eg 'string', 'int', 'float') etc is available for each field in the message to be mapped and is stored in a message repository manager 25, which is part of the broker. This metadata is augmented by a message analyser 26 which statistically analyses the contents of logs 27 and 28 which contain representative samples of messages in systems A and B respectively. Such logs are routinely kept in messaging systems.

The operation of the Message analyser 26 is as follows, as further illustrated in the flow diagram of Figure 3 :

For each message format selected in step 30 :

Load message format description (step 31);

Open the appropriate 'message warehouse' logs 27 or 28, which contain a representative sample of messages ( step 32) in the respective system.

For each field (step 33):

Discover the range of values in the field ( step 34). For numbers this will be a numeric range. For strings this may simply catalogue the different strings which occur. If a string field is known to contain 'strings which represent numeric values' like "21", then a conversion can be done on those values;

Record statistics about the rate of occurrence of the each value in the field (step 35) to produce data equivalent to the histogram shown in Figure 4;

Using the statistics, decide what other field types the data in this field is compatible with ( step 36). For example, any number which can be represented as a 'short integer' could also be represented by an 'integer' or a 'long integer' (but the reverse is not true).

Augment the message meta-data in the message repository manager 25 for that field with the respective statistics and information about compatibility (step 37).

The metadata from MRM 25 is applied, together with an input message from System A, to a semi-automated message reformatter consisting of compatibility determination means 23, transformation selection means 24 and a conventional message format mapping component 29, which supplies the appropriate output message field. Although shown as part of the message broker 20, the compatibility determination means 23, transformation selection means 24 and message analyser 26 could be a tool, separate from the main message broker for assisting a manual selection by the system administrator.

The operation of the reformatter is described in the flow diagram of Figure 5.

In response to receipt of an input message from system 21 (step 51), a message format description for Message Format A is loaded (step 52);

Message format descriptions for messages recognisable by system 22 (Message Format B) are effectively read from the Message Repository Manager 25 ( step 54);

For each field Fa from Message Format A ( step 53):

For each field Fb in Message Format B :

if the type of Fa is compatible with the type of Fb (step 55), then perform a 'value comparison' ( step 56). This compares the statistics recorded for the values in Fa for the values in Fb, and assigns a numerical score for compatibility ;

Rank the fields in Message Format B with respect to Fa using the numerical score (step 57) ; in most cases only one field will have a high score; all the others will have a score close to zero: in that case the highest ranked Fa can safely be mapped to Fb.

There are a variety of ways of measuring the similarity of two sets of data values; they are usually based on the idea of comparing the statistical distributions of values in each of the datasets.

For example, if we characterise the statistics of the logs for :

Field a in Log 1 as the histogram  $H_{a1}(x)$ ;

Field b in Log 2 as the histogram  $H_{b2}(x)$ ;

where  $H_{mn}(x)$  is just the count of occurrences of  $x$  in field  $m$  of Log  $n$ ,

then there are many ways of creating a similarity measure  $\text{sim}(H_{a1}, H_{b2})$ .

One known approach would be to use Bayes theorem to define the  $\text{sim}(H_{a1}, H_{b2})$  as the probability that  $H_{a1}$  and  $H_{b2}$  both come from the same source distribution.

In summary, in the example of the invention described with reference to the drawings, compatible fields are first selected. Then a similarity measure for each pair of fields is derived, based on the statistical analysis of the contents of the two logs. For each field in the input message log, the output message fields are then ranked in order of decreasing similarity. Finally, the transformation is either automatically made to the most similar field or the administrator is allowed to select from the most promising candidates.

CLAIMS

1. A message transformation selection tool for use in a distributed  
5 message processing system, said system including message transformation  
means for transforming an input message in any of a plurality of formats  
recognised by one component of said system into an output message in one of  
a plurality of different formats recognised by another component of said  
system and a message log for storing representative samples of messages  
10 processed by the respective system components; said selection tool  
comprising :

means for determining the compatibility of each field of each of said  
plurality of input message formats with one or more fields of said  
15 plurality of output message formats;

means for statistically analysing the values of message fields in  
messages stored in said message log; and

20 selection means responsive to said compatibility determination and  
said statistical analysis to select the best fit output message field into  
which to transform a given input message field.

2. A tool as claimed in claim 1 in which said means for statistical  
25 analysis produces the numerical distribution of values in said message  
fields, said selection means selecting the best fit distribution for all  
said compatible fields.

3. A tool as claimed in claim 1 or claim 2 in which said selection means  
30 ranks output message fields according to the results of the statistical  
analysis.

4. A tool as claimed in any preceding claim in which said system further  
includes a message repository manager for storing meta-data for said two  
35 pluralities of message formats, said compatibility determining means basing  
its determination of compatibility on said meta-data.

5. A tool as claimed in claim 4 in which the values of said message  
fields include numerical values and said means for statistical analysis is  
40 arranged to analyse the range of numerical values in the respective fields  
and to augment said meta-data with said range of values.

6. A tool as claimed in claim 4 as dependent on claim 2 in which said meta-data for each field includes said distribution of numerical values for said field.

5 7. A method of selecting a message transformation in a distributed message processing system, said system including message transformation means for transforming an input message in any of a plurality of formats recognised by one component of said system into an output message in one of  
10 a plurality of different formats recognised by another component of said system and a message log for storing representative samples of messages processed by the respective system components; said selection tool comprising :

15 means for determining the compatibility of each field of each of said plurality of input message formats with one or more fields of said plurality of output message formats;

means for statistically analysing the values of message fields in messages stored in said message log; and

20 selection means responsive to said compatibility determination and said statistical analysis to select the best fit output message field into which to transform a given input message field.

25 8. A method as claimed in claim 7 in which said statistical analysis step produces the numerical distribution of values in said message fields and said selection step selects the best fit distribution for all said compatible fields.

30 9. A method claimed in claim 7 or claim 8 in which said selection step includes ranking output message fields according to the results of the statistical analysis.

35 10. A method as claimed in any of claims 7 to 9 in which said system further includes a message repository manager for storing meta-data for said two pluralities of message formats, said compatibility determining step comprising determining compatibility from said meta-data.

40 11. A method as claimed in claim 10 in which the values of said message fields include numerical values and said statistical analysis step includes



the steps of analysing the range of numerical values in the respective fields and augmenting said meta-data with said range of values.

12. A method as claimed in claim 10 as dependent on claim 8 in which said meta-data for each field includes said distribution of numerical values for said field.

13. A computer program for selecting a message transformation in a distributed message processing system, said system including message transformation means for transforming an input message in any of a plurality of formats recognised by one component of said system into an output message in one of a plurality of different formats recognised by another component of said system and a message log for storing representative samples of messages processed by the respective system components; said computer program comprising :

means for determining the compatibility of each field of each of said plurality of input message formats with one or more fields of said plurality of output message formats;

means for statistically analysing the values of message fields in messages stored in said message log; and

selection means responsive to said compatibility determination and said statistical analysis to select the best fit output message field into which to transform a given input message field.

14. A message broker for use in a distributed message processing system, said broker comprising:

message transformation means for transforming an input message in any of a plurality of formats recognised by one component of said system into an output message in one of a plurality of different formats recognised by another component of said system;

a message log for storing representative samples of messages processed by the respective system components;

means for determining the compatibility of each field of each of said plurality of input message formats with one or more fields of said plurality of output message formats;

means for statistically analysing the values of message fields in messages stored in said message log; and

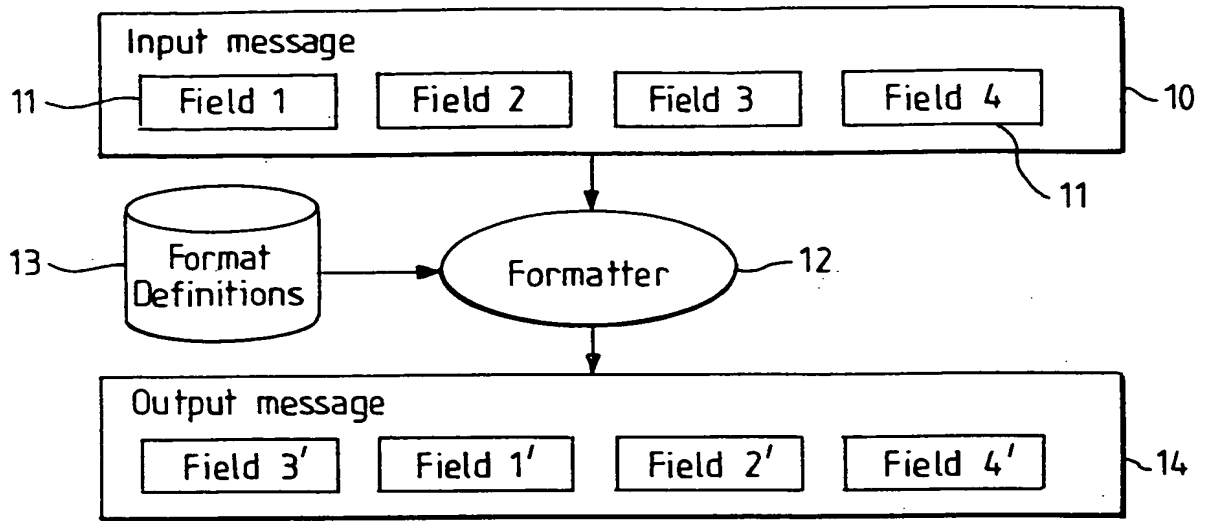
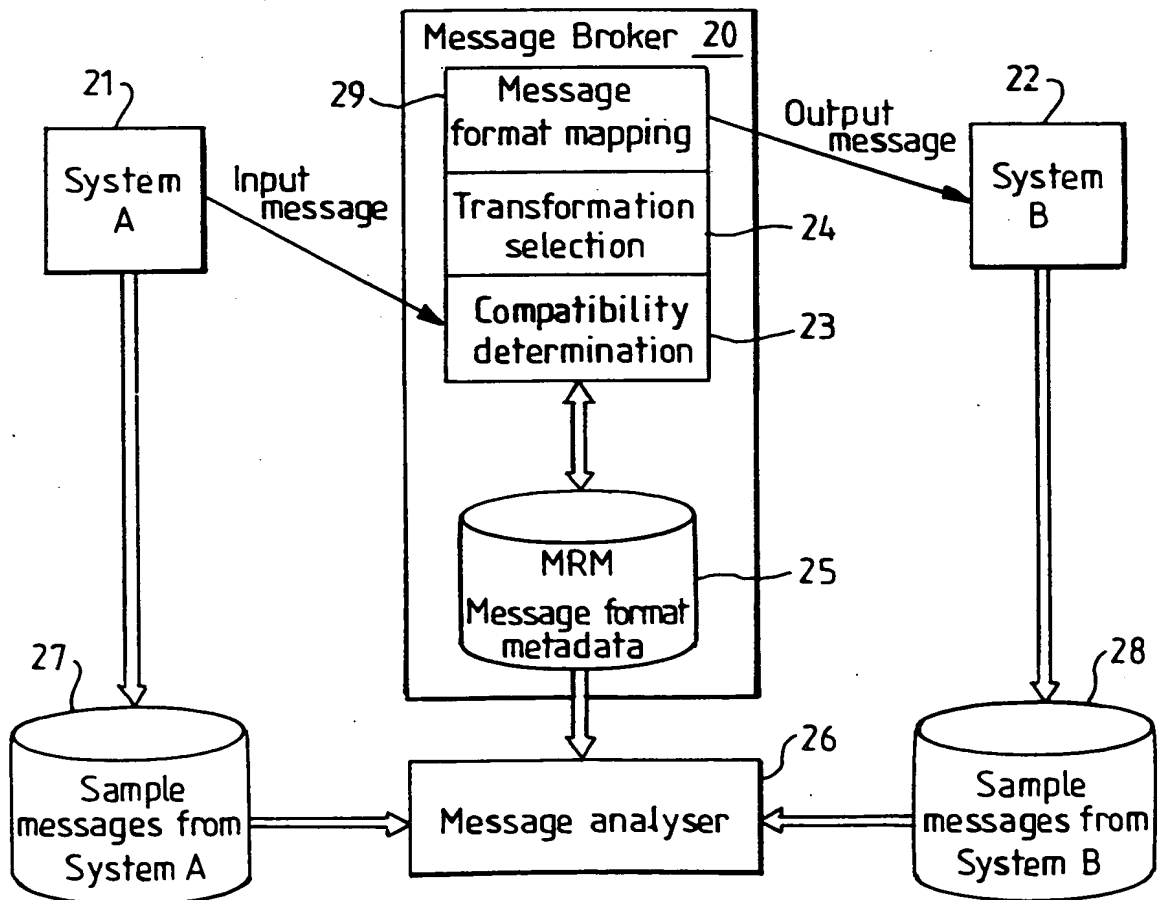
5 selection means responsive to said compatibility determination and said statistical analysis to select the best fit output message field into which to transform a given input message field.

## ABSTRACT

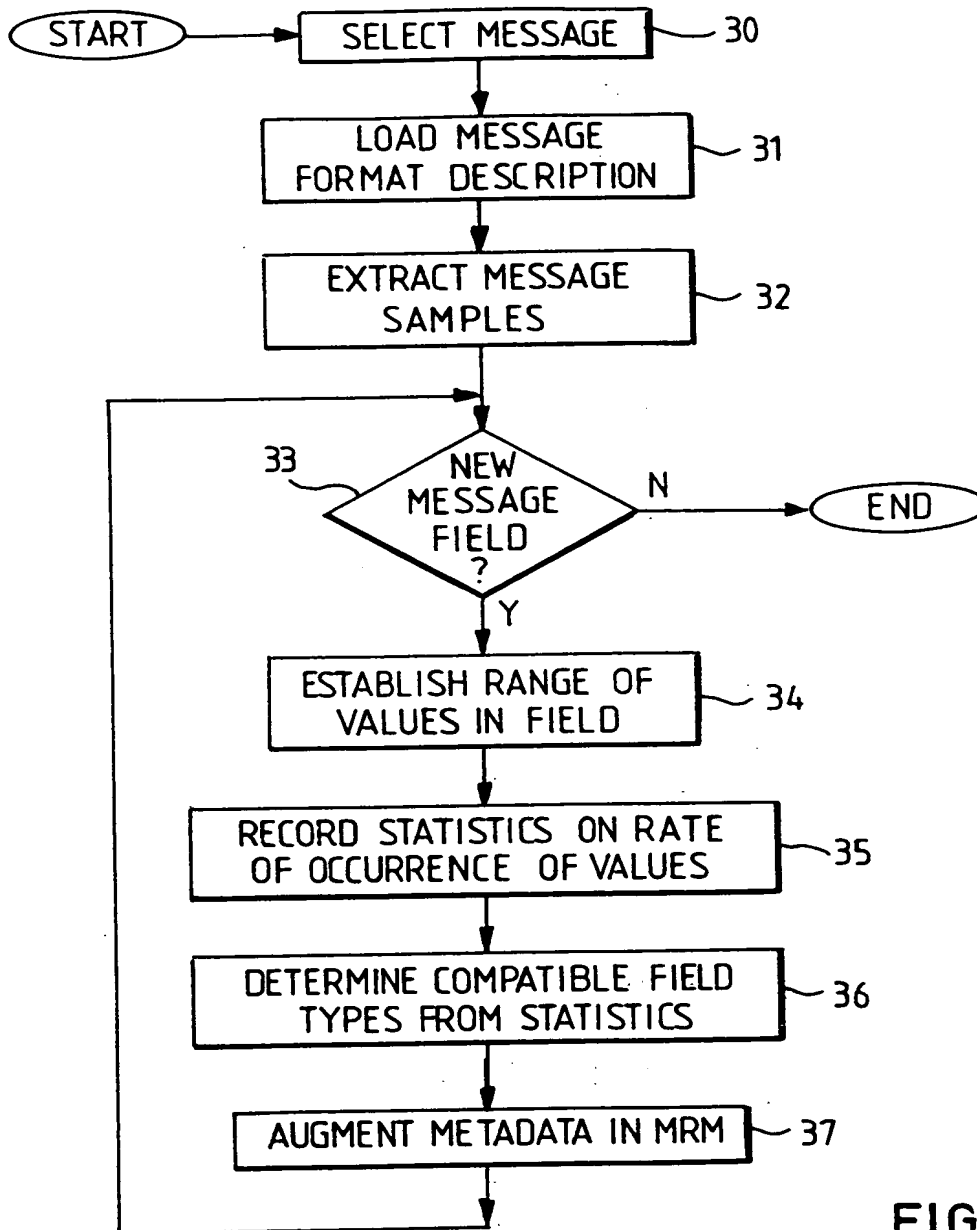
MESSAGE TRANSFORMATION SELECTION TOOL AND METHOD

5 A message transformation selection tool and method for use in a distributed message processing system running applications on subsystems using incompatible message formats, selects the most appropriate output message format for a given input message format. Firstly, the tool  
10 determines compatibility of each field of the input message format with fields of all possible output message formats. Next, it statistically analyses the values of message fields in messages stored in message logs for each of the subsystems. On the basis of this analysis, the tool selects  
15 the best fit output message into which to transform a given input message.

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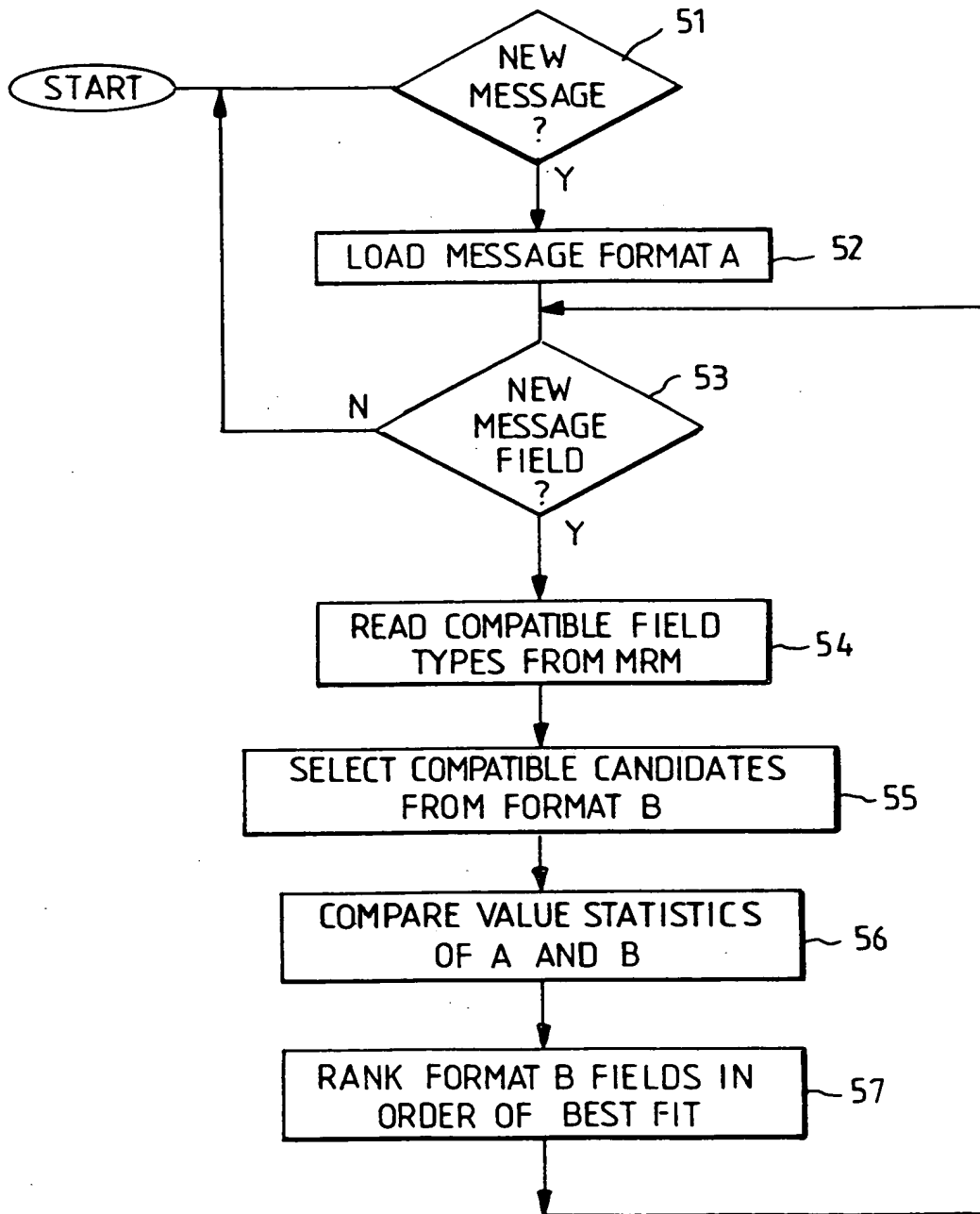
FIG. 1FIG. 2

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FIG. 3FIG. 4

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FIG. 5

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